JAN 3 3 255 A

F.

| JAN 2 3 2003<br>Under the Re  |                             | no person: |  | Patent and T | PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 rademark Office; U.S. DEPARTMENT OF COMMERCE formation unless it displays a valid OMB control number.                         |  |  |
|---|-----------------------------|------------|--|--------------|--|--|--|
| TRANSMITTAL FORM  |                             |            | Application Number Filing Date First Named Inventor Art Unit   | 2/23/2       | 10/784,376<br>2/23/2004<br>Arvind Sundararajan<br>2176   |  |  |
| (to be used for all correspondence after initial filing)  Total Number of Pages in This Submission  |                             |            | Examiner Name Attorney Docket Number   |              | s-01391US1   |  |  |
|   |                             | ENC        | LOSURES (Check all   | that apply   | After Allowance Communication to TC  |  |  |
| l   | smittal Form<br>ee Attached |            | Drawing(s)<br>Licensing-related Papers   |              | Appeal Communication to Board of Appeals and Interferences   |  |  |
| Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application  Reply to Missing Parts under 37 CFR 1.52 or 1.53 |                             | Rema       | Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Ad Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks |              | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):  Post Card Copy of International Search Report |  |  |
|   | SIGNA                       | TURE C     | OF APPLICANT, ATTO   | RNEY, C      | OR AGENT   |  |  |
| Firm Name   | Fliesler Meyer              | LLP        |  |              |  |  |  |
| Signature   |                             |            |  |              |  |  |  |
| Printed name  | Joseph P. O'Mal             | ley        |  |              |  |  |  |
| Date  | 1-2-0                       |            |  | Reg. No.     | 26 226   |  |  |

## I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Typed or printed name Teri Muir Date 1-35-05

36,226

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s):

Sundararajan et al.

Appln. No.:

10/784,376

Confirm. No.:

8926

Filed:

February 23, 2004

Title: SYSTEMS AND METHODS FOR **DECLARATIVELY TRANSFORMING DATA** 

**OBJECTS BETWEEN DISPARATE** 

REPRESENTATIONS

PATENT APPLICATION

Art Unit:

2176

Examiner:

Customer No. 23910

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8** 

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 25 2005.

Teri Muir

Signature Date: January

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

## Enclosed with this statement are the following:

Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.

The present application is being/was filed after June 30, 2003. In accordance with the 1.98 (a)(2)(i)gazette waiver CFR posted pre-official of 37 pac/dapp/opla/preognotice/idswouscopies.htm, copies of cited Û.Ś. patents and publications are not enclosed. However, copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98(a)(2), as still required, except for those items designated by an asterisk (\*), which were previously submitted by the applicant in a parent application, from which benefit under 35 U.S.C. §120 is claimed, with an Information Disclosure Statement submitted in the parent application

|          | which c), as a  | compli<br>allowed  | es with<br>under                               | the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-37 C.F.R. §1.98(d)(1).  |  |  |  |  |  |  |
|----------|---|--|--|---|--|--|--|--|--|--|
|          | as req<br>asteris<br>from v<br>Statem                           | The present application was filed prior to June 30, 2003. A copy of each cited docume as required by 37 C.F.R. §1.98 is enclosed, except for those items designated by asterisk (*), which were previously submitted by the applicant in a parent application which benefit under 35 U.S.C. §120 is claimed, with an <i>Information Disclosu</i> Statement submitted in the parent application which complies with the September 8, 20 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1). |  |   |  |  |  |  |  |  |
|          | relevandocum<br>concis<br>langua<br>transla<br>posses<br>§1.56( | nce is nents cine explange version of sion, cine, a co   | providited in anation of a nor ustody opy of t | bmitted documents is in a foreign language, a concise explanation of ed pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language a search report by a foreign patent office, the requirement for a of relevance is satisfied by the submission herewith of an English the search report. MPEP §609A(3). If a written English-language n-English language document, or portion thereof, is within the or control of, or is readily available to any individual designated in the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), irement for a concise explanation of relevance, MPEP §609A(3). |  |  |  |  |  |  |
| <b>√</b> | Inform<br>office<br>individ                                     | <i>ation I</i><br>in a c<br>dual de  | D <i>isclosi</i><br>ounterp<br>esignate        | er 37 C.F.R. §1.704(d). Each item of information contained in the are Statement was cited in a communication from a foreign patent art application and this communication was not received by any d in §1.56(c) more than thirty days prior to the filing of the are Statement.   |  |  |  |  |  |  |
|          | Copy  | of Inter   | nationa  | Search Report attached for review.  |  |  |  |  |  |  |
| This s   | tatemer   | it shoul   | ld be co                                       | nsidered because:   |  |  |  |  |  |  |
|          | <u> </u>  | 37 C.<br>(b) be  |  | .97(b). This statement qualifies under 37 C.F.R. §1.97, subsection  |  |  |  |  |  |  |
|          |   | (1)  | It is b  | being filed within three months of the filing date of an application than a continued prosecution application under § 1.53(d);  OR  |  |  |  |  |  |  |
|          |   | (2)  | It is be                                       | eing filed within 3 months of entry of a national stage; OR   |  |  |  |  |  |  |
|          |   | (3)  | It is b<br>merits                              | eing filed before the mailing date of the first Office Action on the  |  |  |  |  |  |  |
|          |   | (4)  |  | eing filed before the mailing date of the first Office Action after the of a Request for Continued Examination under 37 C.F.R. §1.114.  |  |  |  |  |  |  |
|          |   | 1.97(c). Although it may not qualify under subsection (b), this lifties under 37 C.F.R. §1.97, subsection (c) because:   |  |   |  |  |  |  |  |  |
|          |   | (1)  | Notice   | being filed before the mailing date of a FINAL Office Action, a e of Allowance, or an action that otherwise closes prosecution in the et application, whichever occurs first.   |  |  |  |  |  |  |
|          |   |  |  | AND (check at least one of the following)   |  |  |  |  |  |  |
|          |   |  | (1)  | It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).  OR  |  |  |  |  |  |  |
|          |   |  | (2)  | It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).   |  |  |  |  |  |  |

- \_\_ 37 C.F.R. §1.97(d). Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:
  - (1) It is being filed on or before payment of the Issue Fee; -- AND --
  - (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e); -- AND --
  - (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).
- ✓ Fee Authorization. The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER MEYER LLP

Date: 1-35-05

By: Joseph R. O'Malley Reg. No. 36,226

FLIESLER MEYER LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 Telephone (415) 362-3800

9 U.S. DEPARTMENT OF COMMERCE MADE ENT AND TRADEMARK OFFICE Attorney Docket Number Serial/Patent Number (Substitute) Information Disclosure Statement BEAS-01391US1 10/784,376 **BY APPLICANT** (Use several sheets if necessary) Applicant/Patent Owner Sundararajan et al. Filing/Issue Date Group Art Unit February 23, 2004 2176 **U.S. PATENTS** Examiner Initial Patent Number Issue Date First Named Inventor Class Subclass Filing Date 5,748,975 05/05/98 395 793 07/06/95 Van De Vanter 701 5,835,769 11/10/98 Jervis et al. 395 09/19/95 6,044,217 03/28/00 Brealey et al. 395 701 10/23/97 6,353,923 B1 03/05/02 Bogle et al. 717 4 01/30/98 U.S. PATENT PUBLICATIONS Examiner Patent Application Publication Number **Publication Date** Applicant Initial PENDING U.S. PATENT APPLICATIONS Petition to Examiner Application Number Filing Date First Named Inventor Expunge? Initial Yes | No

|                     | T   | FOREIGN PATENT                | DOCUMENTS                     |                    |                | Trans-             |
|---------------------|---|-------------------------------|-------------------------------|--------------------|----------------|--------------------|
| Examiner<br>Initial | Document Number   | Publication<br>Date           | Country                       | Class              | Subclass       | lation<br>Yes   No |
| OTI                 | HER DOCUMENTS (Incl   | ude author (if any), title, p | ublisher and place of publica | ation, date and po | ertinent pages | )                  |
| Examiner            |   | <u>D</u>                      | ate Considered                |                    |                | <del> </del>       |
|                     | nitial if citation considered, who<br>I not considered. Include copy of |                               |                               | Draw line throu    | gh citation if | not in             |
|                     | bmitted because it was submitte<br>bmitted because it was submitte      |                               |                               |                    |                |                    |